

DOCUMENT RESUME

ED 293 552

IR 052 345

TITLE                    Library Programs: Strengthening Research Library Resources Program. Higher Education Act, Title II-C. Abstracts of Funded Projects, 1987.

INSTITUTION            Office of Educational Research and Improvement (ED), Washington, DC.

PUB DATE              Feb 88

NOTE                    71p.

PUB TYPE              Reference Materials - Directories/Catalogs (132) -- Reports - Descriptive (141)

EDRS PRICE            MF01/PC03 Plus Postage.

DESCRIPTORS           Academic Libraries; \*Cataloging; \*Federal Aid; Financial Support; Higher Education; \*Library Collection Development; Microreproduction; Online Catalogs; \*Preservation; \*Research Libraries  
\*Higher Education Act Title II

IDENTIFIERS

ABSTRACT

The 37 funded programs described in this brochure involve bibliographic control, collection development, and preservation of library materials at research libraries, and are designed to make rare materials accessible through bibliographic control and networking. The description of each funded project includes the responsible agent conducting the research library project; the individual responsible for administering the project for the sponsoring institution; the major area of project activity; the amount of money awarded for use during the grant period; the U.S. Department of Education control number; and an abstract summarizing the project goals and activities. Appended tables summarize funding, major activities, and joint projects, and a map showing the states that have benefited from Title II-C since 1976 is included. (EW)

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# Library Programs

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## Strengthening Research Library Resources Program

### Abstracts of Funded Projects

37

IR 052345

Office of Educational Research and Improvement  
U.S. Department of Education

# **Library Programs**

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## **Strengthening Research Library Resources Program**

**Higher Education Act, Title II-C**

**Abstracts of Funded Projects  
1987**

February 1988

**U.S. Department of Education**

**William J. Bennett**

**Secretary**

**Office of Educational Research and Improvement**

**Chester E. Finn, Jr.**

**Assistant Secretary**

**Library Programs**

**Anne J. Mathews**

**Director**

**Information Services**

**Ray Fields**

**Director**

The authority for the Strengthening Research Library Resources Program is Part C of Title II of the Higher Education Act of 1965, Public Law 89-329, as amended by:

The Education Amendments of 1976, Public Law 94-482;

The Education Amendments of 1980, Public Law 96-374; and

The Higher Education Amendments of 1986, Public Law 99-498.

## **Foreword**

"The expansion in the scope of educational and research programs and the rapid increase in the worldwide production of recorded knowledge have placed unprecedented demands upon major research libraries, requiring programs and services that strain the capabilities of cooperative action and are beyond the financial competence of individual or collective library budgets."

With these words, Congress, in the Education Amendments of 1976, launched the HEA Title II-C, Strengthening Research Library Resources Program. In the ten years since its enactment, the accomplishments of this program, which span the continent and, in some instances, the world, are many-faceted and exciting. Imagine a walk through the Newspaper Archives of the Boston Public Library with its 35,000 volumes of original newspapers. Or a visit to an exhibit from the revitalized Poster Collection in the Hoover Institution Archive, a prized historical treasure representing notable artists from around the world that documents the efforts of official and underground agencies to mobilize the public in time of crisis. Or discovering through an on-line search that microform copies of the medieval Slavic manuscripts you need are held at Ohio State University and are available for use on site or through interlibrary copying. These activities and many others of equal import have been supported with HEA Title II-C funds.

This brochure describes briefly the projects funded in fiscal year 1987, including valuable summary data in table form which provide further insight into program activities. As in previous years, this slate reflects a diversity of subject matter and design in the effort to make rare material accessible through bibliographic control and networking, through preservation, and through augmentation of already strong and unique collections. Making rare material accessible is not cost free. The Title II-C money has provided the incentive for sharing.

Anne J. Mathews  
Director  
Library Programs  
Office of Educational  
Research and Improvement

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## **Introduction**

This booklet contains information on grants funded by the Higher Education Act, Title II-C Program to assist major research libraries throughout the nation. The booklet contains

- Descriptions of each funded project, including
  - (1) The responsible agent conducting the research library project;
  - (2) The individual responsible for administering the project for the sponsoring institution;
  - (3) The major area of project activity;
  - (4) The amount of money awarded for use during the grant period;
  - (5) The U.S. Department of Education control number; and
  - (6) An abstract summarizing the project goals and activities.
- Appendix tables showing funding and major activities of institutions.

## **For More Information**

Additional information may be obtained by contacting

Library Development Staff  
Library Programs, OERI  
U.S. Department of Education  
555 New Jersey Avenue, NW  
Washington, DC 20208-1430

## **HEA Title II-C**

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### **Abstracts of Funded Projects**

**Institution** American Antiquarian Society  
185 Salisbury Street  
Worcester, MA 01609

**Project Director** Alan Degutis  
Head, Cataloging Services

**Program Focus** Bibliographic Control

**Grant Period** 10/1/87 - 12/31/88

**FY 87 Funding** \$109,260

**Grant Number** G008610209

#### **Abstract**

The American Antiquarian Society (AAS) possesses the world's best collection of early American imprints and newspapers. From 1955 to about 1965 its staff edited the microform series *Early American Imprints: First Series (Evans)*, published by Readex Microprint Corporation, which included the text of every available book, pamphlet, and broadside printed in the United States from 1640 to 1800. This microform series has proven to be of great value to scholarship, but full access to it has been hampered by a lack of adequate cataloguing. AAS will continue to produce full MARC cataloguing of the microform set, which will be entered into national databases and made available to individual libraries.

Institution	American Museum of Natural History Department of Library Services Central Park West and 79th Street New York, NY 10024
Project Director	Nina J. Root Chairwoman
Program Focus	Preservation
Grant Period	10/1/87 - 12/31/88
FY 87 Funding	\$199,661
Grant Number	G008610216
Abstract	

The Library of the American Museum of Natural History will continue to microfilm, restore, and conserve some 80 unique scientific and historic ledgers, scrapbooks, field diaries and notebooks, and specimen catalogs from scientific expeditions, fieldwork, and laboratory work, which form a unique record of the development of science and a major scientific institution in the United States. These records are used daily for the curation of collections and in scientific research; therefore, hard copies will be provided, while the unique manuscripts, some dating from 1815, will be preserved, catalogued into OCLC, and made accessible to the scholarly community.

Institution	Boston Public Library 666 Boylston Street Boston, MA 02117
Project Director	B. Joseph O'Neil Supervisor of Research Library Services
Program Focus	Preservation
Grant Period	10/1/87 - 12/31/88
FY 87 Funding	\$210,000
Grant Number	G002745315
Abstract	

The Boston Public Library will continue its program of preserving all Massachusetts newspapers on microfilm. Papers to be in most need of immediate preservation attention (revealed by a survey of over 2,000 repositories) will be filmed and a positive copy will be returned to the cooperating institution. The negative will be stored in the Library's ultrasecurity vault, a positive copy made available for public use by the Library, and the original papers wrapped in acid-free paper and placed in storage. Film will be checked to make sure it meets preservation microfilm standards. Regularly updated editions of *Newspapers on Microfilm in the Boston Public Library* will be produced and distributed.

<b>Institution</b>	Brown University Box A University Libraries Providence, RI 02912
<b>Project Director</b>	Merrily E. Taylor University Librarian
<b>Program Focus</b>	Bibliographic Control
<b>Grant Period</b>	10/1/87 - 12/31/88
<b>FY 87 Funding</b>	\$210,000
<b>Grant Number</b>	G008745308

**Abstract**

The John Hay Library at Brown University is one of the major libraries in the United States devoted exclusively to special collections. Foremost among its collections are the Harris Collection of American Poetry and Plays, the McLellan Lincoln Collection, and the Anne S. K. Brown Military Collection.

This project will begin the retrospective conversion of the monographic holdings of the John Hay Library using the services of an outside retrospective conversion vendor. Over 70,000 bibliographic records will be converted, of which 50,000 will be from the Harris Collection of American Poetry and Plays and 20,000 from the other collections in the John Hay Library. The conversion work will be done under contract by the OCLC RETROCON Service and the records created will also be tape-loaded into the Research Libraries Information Network (RLIN).

<b>Institution</b>	Center for Research Libraries 6050 South Kenwood Avenue Chicago, IL 60637
<b>Project Director</b>	Donald B. Simpson President
<b>Program Focus</b>	Preservation
<b>Grant Period</b>	10/1/87 - 12/31/88
<b>FY 87 Funding</b>	\$119,549
<b>Grant Number</b>	G008745324

#### **Abstract**

This project will complete the preservation microfilming of Ethnic Newspapers held by the Center for Research Libraries (CRL).

The Center for Research Libraries will microfilm all ethnic newspaper files in its collection that are not already preserved on film. It will include all titles held by CRL which cover the ethnic groups from Southern, Central, and Eastern Europe that emigrated to the United States in large numbers in the late 19th and early 20th centuries. Included will be titles in Arabic, Chinese, Danish, French, German, Japanese, Norwegian, Persian, Spanish, Swedish, and Yiddish. A total of 92 titles have been identified that are in need of preservation.

<b>Institution</b>	Dartmouth College Library P.O. Box 7 Hanover, NH 03755
<b>Project Director</b>	John R. James Director of Collection Development and Bibliographic Control
<b>Program Focus</b>	Bibliographic Control and Preservation
<b>Grant Period</b>	10/1/87 - 12/31/88
<b>FY 87 Funding</b>	\$249,818
<b>Grant Number</b>	G008610217
<b>Abstract</b>	

This is the third and final year of this project, which will continue to improve accessibility and availability of the Dartmouth College Library collections in theatre and the performing arts, consisting of monographs, periodicals, newspapers, scripts, libretti, programs, playbills, scrapbooks, slides, sheet music, and other materials providing primary source materials for research in the performing arts. The collections contain some 48,000 individual items falling into four major categories: (1) plays, (2) playbills, (3) nonbook materials, and (4) sheet music.

Machine-readable records in the MARC format will be prepared and the records made available in the RLIN and OCLC shared cataloging databases and in the Dartmouth On-line Catalog. The shelf life of the materials in these collections will be extended throughout the application of various conservation and preservation operations.

<b>Institution</b>	George Washington University Gelman Library 2130 H Street, NW Washington, DC 20052
<b>Project Director</b>	William B. Keller Head, Special Collections Department
<b>Program Focus</b>	Bibliographic Control
<b>Grant Period</b>	10/1/87 - 12/31/88
<b>FY 87 Funding</b>	\$71,836
<b>Grant Number</b>	G008745318

**Abstract**

George Washington University's Gelman Library will provide bibliographic control and finding aids for research resources relevant to the history and culture of Washington, DC. The project is in response to a 1985 National Historical Publications and Records Commission-sponsored study revealing that access to the rich research resources in Washington, DC was hampered by severe deficiencies in bibliographic control, finding aids, and interinstitutional cooperation.

The project will determine, on a collection-specific level, the existence, condition, and current accessibility of resources in specified public and private institutions in the Washington metropolitan area. Gelman Library will build an interinstitutional subject database on bibliographic information to facilitate research. The project will also provide recommendations for ongoing interinstitutional coordination of bibliographic control and collection processing.

<b>Institution</b>	Harvard University Cambridge, MA 02138
<b>Project Director</b>	Sidney Verba Director of the Library
<b>Program Focus</b>	Bibliographic Control and Preservation
<b>Grant Period</b>	10/1/87 - 12/31/88
<b>FY 87 Funding</b>	\$110,000
<b>Grant Number</b>	G008745443

#### **Abstract**

The Harvard University Library will improve bibliographic control of its collection of master negative microfilms preparatory to publication of special lists for input into national databases. It will also film some 270,000 pages of valuable research materials that are too rare or fragile for lending. Specific collections to be filmed are in business (10K reports of the Securities and Exchange Commission), church history (American Unitarian Association letterbooks and records of the Society for Printing Theological Education), history of higher education (Harvard Commencement parts and exhibitions, 1649 to date), and a pilot project in European newspapers. This grant will disseminate information on what has been accomplished, and will further the development of a sound program for addressing the urgent problem of deteriorating research materials.

**Institution** Indiana University  
University Libraries  
Bloomington, IN 47401

**Project Director** David E. Fenske  
Head, Music Library

**Program Focus** Bibliographic Control and  
Preservation

**Grant Period** 10/1/87 - 12/31/88

**FY 87 Funding** \$103,080

**Grant Number** G008745323

#### **Abstract**

The Indiana University Libraries will increase nationwide bibliographic access and availability of Indiana University's extensive collection of operatic records by cataloging 50,000 sound recording titles of operas and opera excerpts new to the music library's collection, using the OCLC database. This project will make available and conserve preeminent collections of international oper. materials housed in the School of Music Library that are primary resources for performers, scholars, and researchers around the world. Approximately 1,825 new records will be added to the database. The authority records will be submitted to the Library of Congress (LC) as part of its Name Authority Cooperative Project (NACO), and the headings added to LC's name authority file for national distribution.

<b>Institution</b>	Missouri Botanical Garden Library P.O. Box 299 St. Louis, MO 63166
<b>Project Director</b>	Constance P. Wolf Librarian, and John Reed Vice President for Education New York Botanical Garden
<b>Program Focus</b>	Bibliographic Control
<b>Grant Period</b>	10/1/87 - 12/31/88
<b>FY 87 Funding</b>	\$250,000
<b>Grant Number</b>	G008610198
<b>Abstract</b>	

This project will continue to increase the accessibility for the nation's research and academic library community to the extensive collections of plant science literature held in the libraries of the Missouri Botanical Garden and the New York Botanical Garden, the nation's two most comprehensive botanical libraries. This will be accomplished by continuing the program of shared recataloging and OCLC entry begun by the two libraries in October 1978, whereby cataloging and holdings records for both libraries are entered into the OCLC database.

<b>Institution</b>	New York State Library Cultural Education Center Empire State Plaza Albany, NY 12230
<b>Project Director</b>	James Corsaro Senior Librarian
<b>Program Focus</b>	Bibliographic Control
<b>Grant Period</b>	10/1/87 - 12/31/88
<b>FY 87 Funding</b>	\$50,575
<b>Grant Number</b>	G008745320

**Abstract**

The New York State Library, through its Manuscripts and Special Collections unit, will catalog its unique manuscript collections and input the catalog data into the Research Libraries Group's national database, Research Libraries Information Network (RLIN), making this information more readily available to the national research public. In addition, the data will later be loaded into the State Library's local system, the Collection Management System (CMS), providing an on-site, user-friendly, on-line public catalog to these manuscript holdings.

The Library will implement the USMARC Archives and Manuscripts Format (AMC) for the retrospective conversion cataloging of these unique resources.

<b>Institution</b>	Northwestern University University Library Evanston, IL 60201
<b>Project Director</b>	Janet Susan Hill Head, Catalog Department, and Andrea L. Stamm Head, Africana Cataloging
<b>Program Focus</b>	Bibliographic Control
<b>Grant Period</b>	10/1/87 - 12/31/88
<b>FY 87 Funding</b>	\$119,784
<b>Grant Number</b>	G008745304

#### **Abstract**

Bibliographic data for 30,000 monographs in the Melville J. Herskovits Library of Africana will be converted to machine-readable form. These 30,000 records represent the last remaining cataloged titles in the Herskovits Collection for which machine-readable data is not available. An additional 1,500 titles, for which only brief cataloging is currently available, will be upgraded to full cataloging standards. These titles will be selected according to their value to the research community and the degree to which full cataloging will enhance intellectual access to them. All 31,500 bibliographic records will be made available on tape to the major North American bibliographic networks, thus affording scholars nationwide with readily available access to the resulting information. Northwestern University Library's liberal interlibrary loan and on-site use policies will assure ready physical access to the resources described.

<b>Institution</b>	Ohio State University 1314 Kinnear Road Columbus, OH 43212
<b>Project Director</b>	Jill Fatzar Director of Libraries
<b>Program Focus</b>	Bibliographic Control and Preservation
<b>Grant Period</b>	10/1/87 - 12/31/88
<b>FY 87 Funding</b>	\$70,000
<b>Grant Number</b>	G008610207

#### **Abstract**

This project will preserve and provide on-line bibliographic access to the unique collection of medieval and medieval tradition (13th through 20th century) Slavic Cyrillic manuscripts in microform in the Hilander Research Library of Ohio State University (OSU). OSU will continue to catalog the collection on the OCLC system, employing the standard MARC format, observing all conventions of AACR2. Bibliographic records thus created will be copied to a discrete archive tape. This tape and the computer-output microform produced from it will allow these data to be provided to RLIN and other bibliographic networks, to individual scholars, and to research centers in the United States and abroad. It will also be the basis of the derivative bibliographic publications.

<b>Institution</b>	Ohio University Athens, OH 45701
<b>Project Director</b>	Dr. Hwa-Wei Lee Director of Libraries
<b>Program Focus</b>	Bibliographic Control
<b>Grant Period</b>	10/1/87 - 12/31/88
<b>FY 87 Funding</b>	\$102,000
<b>Grant Number</b>	G008745322

#### **Abstract**

The Ohio University Alden Library will increase national accessibility of significant research resources on Southeast Asia by cataloging and inputting 4,000 titles into the OCLC international on-line union catalog. Included will be over 3,500 titles from the Library of Congress Jakarta Office Southeast Asia Microfiche, 1981-1985, as a Major Microform Project (MMP), and continuing a previous MMP project covering 1978-80. As an MMP, records will be available also to the other national centers holding the fiche. With one-half the monographs and one-quarter of the serials acquired from Indonesia available only in this fiche collection, full bibliographic access is essential for research on the world's fifth most populous nation. The library has focused primarily on materials covering Indonesia, Malaysia, Singapore, and Brunei, and to a lesser extent, Thailand and the Phillipines.

Institution	Southern Illinois University Morris Library Carbondale, IL 62901
Project Director	David V. Koch Curator of Special Collections and University Archivist, and Kenneth G. Peterson Dean of Library Affairs
Program Focus	Bibliographic Control and Preservation
Grant Period	10/1/87 - 12/31/88
FY 87 Funding	\$130,000
Grant Number	G008745314

#### Abstract

Morris Library will catalog seven First Amendment Freedom collections—including the Ralph E. McCoy Freedom of the Press Collection and the Theodore Schroeder Papers and Library—making available to scholars unique and important collections of over 10,000 volumes, rare and fugitive pamphlets and printed ephemera, briefs, correspondence, and manuscripts relating to the First Amendment freedoms dating from the 17th Century to the present. Records will be entered into OCLC and the Illinois Library Computer System (LCS). Data will also be incorporated into an in-house micro-computer database that will provide subject access on demand. Fundamental conservation measures, including selective microfilm-ing, will be applied to assure long life for the materials plus optimal availability for researchers.

<b>Institution</b>	Stanford University Stanford University Libraries Stanford, CA 94305
<b>Project Director</b>	Cynthia Gozzi Director of Technical Services
<b>Program Focus</b>	Bibliographic Control
<b>Grant Period</b>	10/31/87 - 12/31/88
<b>FY 87 Funding</b>	\$463,805
<b>Grant Number</b>	G008610200

#### **Abstract**

In order to provide machine-readable catalog records for research libraries throughout the nation, authority records for the Name Authority File, and increased access for its own users, Stanford University will continue to create and input into the RLIN database bibliographic records with multiple access points and NACO headings for the individual titles of the microfilm set *Goldsmiths'-Kress Library of Economic Literature*, Segment 1 and supplement, published by Research Publications.

20 25

<b>Institution</b>	Stanford University Hoover Institution Encina Hall Stanford, CA 94305
<b>Project Director</b>	Judith Fortson Conservation Officer
<b>Program Focus</b>	Preservation
<b>Grant Period</b>	10/1/87 - 12/31/88
<b>FY 87 Funding</b>	\$280,000
<b>Grant Number</b>	G008610232

**Abstract**

The Hoover Institution possesses a Poster Collection totalling approximately 68,000 items: illustrated wall placards, official proclamations, and propaganda of various other types. The newspaper collection also serves as a frequent primary source for scholarly investigation. The papers are of particular significance since they represent, in many instances, the only available record dealing with specific events during times of political unrest or development.

In order to preserve these holdings, the Hoover Institution will continue a brittle document preservation program that includes two basic components for dealing with the two separate groups of materials. Color slides (use copies plus preservation copies) are made of the posters, using Kodachrome film. Selected posters receive applied treatment, and all posters are encapsulated, catalogued, and indexed on-line. The newspapers are being microfilmed, with subsequent catalogue entries in RLIN.

Institution	Stanford University Hoover Institution Stanford, CA 94305
Project Director	Charles Palm Associate Director for Library and Archives
Program Focus	Bibliographic Control and Preservation
Grant Period	10/1/87 - 12/31/88
FY 87 Funding	\$134,244
Grant Number	G008745307
Abstract	

This project will preserve and broaden access to the Hoover Institution's collection of contemporary published sources on the Russian Revolution. The project entails microfilming and cataloging all primary source material published between 1905 and 1930 for the study of the Russian Revolution and Civil War.

The Hoover collection on the 1917 revolution, the Provisional Government, and the Civil War is probably the greatest such collection in the West. The collection also includes important material on the First Russian Revolution of 1905.

Microfilm will be made according to strict archival standards and cataloging will be entered in the national database of the Research Libraries Group.

<b>Institution</b>	State Historical Society of Wisconsin Library 816 State Street Madison, WI 53706
<b>Project Director</b>	John A. Peters Acting Head Librarian
<b>Program Focus</b>	Bibliographic Control and Preservation
<b>Grant Period</b>	10/1/87 - 9/30/88
<b>FY 87 Funding</b>	\$125,000
<b>Grant Number</b>	G008745309

#### **Abstract**

The Library of the State Historical Society of Wisconsin is processing its "Cutter Pamphlet" collection into its current pamphlet collection, which is being fully catalogued and carefully conserved with material collected from 1967 to the present. The Cutter Pamphlets are a general collection of unbound ephemera, emphasizing United States and Canadian social and cultural history, collected by the Library from 1854 to 1966. Much of the collection is not fully catalogued and much shows signs of wear and deterioration.

This project is making the collection more accessible to researchers by preserving by appropriate methods materials in need of basic conservation measures, and by entering full MARC records into OCLC for all items not now in that database.

<b>Institution</b>	State University of New York, Buffalo University Libraries Buffalo, NY 14260
<b>Project Director</b>	Barbara Von Wahlde Director, University Libraries
<b>Program Focus</b>	Bibliographic Control
<b>Grant Period</b>	10/1/87 - 12/31/88
<b>FY 87 Funding</b>	\$155,000
<b>Grant Number</b>	G008745313
<b>Abstract</b>	

The Libraries of the State University of New York at Buffalo will create 5,500 machine-readable records for monographs in the microform sets that comprise the *Latin American Documents* collection.

Titles in this collection are primarily 19th and 20th century publications in all subject areas that are in the public domain. The collection also includes later editions of works originally published in the 16th, 17th, and 18th centuries which are recorded in Jose Toribio Medina's *Bibliotheca-Hispanoamericana, 1493-1810*.

Records created during the project will be entered into the Research Libraries Information Network (RLIN) and tape loaded into OCLC. The items in this collection will be available through interlibrary loan. The SUNY at Buffalo Libraries will provide access to items which are no longer available in paper editions or which exist in very fragile physical condition.

<b>Institution</b>	University of California, Berkeley Berkeley, CA 94720
<b>Project Director</b>	Joseph A. Rosenthal University Librarian, and Donald Shively Head, East Asiatic Library
<b>Program Focus</b>	Bibliographic Control and Preservation
<b>Grant Period</b>	10/1/87 - 9/30/88
<b>FY 87 Funding</b>	\$153,259
<b>Grant Number</b>	G008745312

#### **Abstract**

This project will make known and usable to scholars everywhere two collections of Japanese books that are unique outside of Japan. One is formerly of the Mitsui Library, published between 1868 and 1949, and the second group is contemporary Japanese literature and criticism selected from the personal library of Endo Shusaku, one of Japan's most distinguished living writers. These two collections will be catalogued and the bibliographic records will be sent to RLIN, OCLC, or both. Conservation care will be given as needed to the Mitsui books and to a collection of 2,877 Japanese manuscript titles (over 7,000 volumes) dating from the 14th to the early 20th century so that their usable life can be extended for many more centuries.

<b>Institution</b>	University of California, Berkeley Berkeley, CA 94702
<b>Project Director</b>	Joseph A. Rosenthal University Librarian, and James D. Hart Director, Bancroft Library
<b>Program Focus</b>	Bibliographic Control
<b>Grant Period</b>	10/1/87-9/30/88
<b>FY 87 Funding</b>	\$249,414
<b>Grant Number</b>	G008745306
<b>Abstract</b>	

The Bancroft Library Retrospective Conversion Project will make research materials in the Bancroft Library available to scholars by converting 50,000 card catalog records for printed materials into machine-readable form. Records created during the project will be entered into the national databases of OCLC and RLIN, the MELVYL on-line union catalog of the University of California, and Berkeley's GLADIS on-line catalog. The Bancroft Library is comprised of two collections of internationally recognized distinction: The Bancroft Collection of Western Americana, including materials on Mexico and Central America; and the Rare Books Collection, encompassing extensive manuscript holdings and important printed collections in the areas of early printing, modern poetry, western authors, small press imprints, history of science, and numerous other fields. At least 60 percent of the records converted will be new to the national databases.

<b>Institution</b>	University of California, Los Angeles University Library 405 Hilgard Avenue Los Angeles, CA 90042
<b>Project Director</b>	James M. K. Cheng Head, Richard C. Rudolph Oriental Library
<b>Program Focus</b>	Bibliographic Control and Preservation
<b>Grant Period</b>	10/1/87 - 12/31/88
<b>FY 87 Funding</b>	\$140,000
<b>Grant Number</b>	G008745310

#### **Abstract**

Using the OCLC-CJK system, this project will create on-line bibliographic records in the vernacular for 2,450 rare titles in Japanese fine arts. The interdisciplinary nature of these materials is such that they will be of interest not only to art historians and artists, but also to scholars in Japanese history, culture, sociology, anthropology, language, and literature. The records will be available through the OCLC database and through production of a printed guide. Appropriate preservation treatment (rebinding, protective casing, or microfilming) will be provided for those materials which require it.

<b>Institution</b>	University of California, Riverside Riverside Library Riverside, CA 92517
<b>Project Director</b>	Nancy Douglas Head, Cataloging Department, and George Slusser Curator, Eaton Collection
<b>Program Focus</b>	Bibliographic Control
<b>Grant Period</b>	10/1/87 - 12/31/88
<b>FY 87 Funding</b>	\$155,000
<b>Grant Number</b>	G008745311

#### **Abstract**

The University of California, Riverside will catalog 10,000 titles of the Eaton Collection of Science Fiction and Fantasy Literature to achieve full bibliographic control of this exceptional collection. The remaining backlog of 10,000 Eaton titles will be cataloged through the OCLC bibliographic network. Bibliographic records will be produced in accordance with the *Anglo-American Cataloguing Rules* (2nd. ed.) and the cataloging policies and practices of the Library of Congress. The resulting bibliographic records will be disseminated as a supplement to the new edition of the 1983 3-volume Eaton Catalog published by G.K. Hall. The cataloging project will provide increased bibliographic control for a collection receiving ever-increasing attention and use, make the entire collection accessible, and introduce unique entries into the national database, especially in the area of foreign-language science fiction and fantasy.

Institution	University of California, San Diego Library La Jolla, CA 92093
Project Director	Dorothy Gregor University Librarian
Program Focus	Bibliographic Control, Collection Development and Preservation
Grant Period	10/1/87 - 12/31/88
FY 87 Funding	\$141,283
Grant Number	G008745444

#### Abstract

This project will strengthen a unique national research resource, the Archive for New Poetry at the University of California, San Diego (UCSD), and extend access to these materials to the international scholarly community through cataloging and dissemination of information. The cataloging component of this project will create or enhance more than 14,000 bibliographic records in the OCLC database; 4,000 new names will be added to the national authority file through the NACO Project. An important feature of the project will be the preparation of a collection-level brochure describing the Archive for New Poetry and a union index to all 40 manuscript collections. The entire collection of more than 650 audio tapes will be content indexed. The preservation encompasses microfilming, audio recording, photocopying, and encapsulation, and will make some endangered materials available for scholarly research for the first time.

<b>Institution</b>	University of California, Santa Barbara University Library Santa Barbara, CA 93106
<b>Project Director</b>	Joseph A. Boisse University Librarian, and Cecily Johns Associate University Librarian
<b>Program Focus</b>	Bibliographic Control
<b>Grant Period</b>	10/1/87 - 12/31/88
<b>FY 87 Funding</b>	\$77,000
<b>Grant Number</b>	G008745303

#### **Abstract**

This project will make available to scholars and researchers nationally a unique collection of over 50,000 trade catalogs from the late 19th, but primarily the 20th century. The collection was assembled by Lawrence B. Romaine, author of *A Guide to American Trade Catalogs 1744-1900*, the definitive bibliographic guide in the field. The collection is a powerful resource for the study of American technological history as seen through the items represented in the catalogs, but it also provides primary source materials for many other disciplines, including history of architecture and industrial design, economic anthropology, environmental studies, agriculture, social and cultural history. Through this project, on-line bibliographic records in AMC-MARC format will be created and input into the national database, RLIN, providing access to scholars by both subject and manufacturer, the most common and useful approaches to trade catalog literature.

Institution	University of Hawaii at Manoa University Library Honolulu, HI 96822
Project Director	John R. Haak University Librarian
Program Focus	Preservation
Grant Period	10/1/87 - 12/31/88
FY 87 Funding	\$45,000
Grant Number	C008745446

#### **Abstract**

This project will enable the University of Hawaii, Manoa Library to microfilm and thus preserve Pacific Island and Asian newspapers, and enhance scholarly access to these primary research resources.

The principal activity will be to microfilm newspaper titles published in the Pacific Island nations which are uniquely held in the United States by the University of Hawaii Library. This project will preserve a resource that is vulnerable to deterioration from environmental conditions and provide for the potential dissemination of microfilm copies to other research libraries throughout the world.

Availability of these microfilm titles and purchase information will be provided to research libraries throughout the United States, to academic institutions in the countries of their origin, and to research libraries in the regions involved.

<b>Institution</b>	University of Illinois at Urbana-Champaign Library Urbana, IL 61801
<b>Project Director</b>	Nancy O'Brien Acting Education and Social Science Librarian
<b>Program Focus</b>	Collection Development, Bibliographic Control and Preservation
<b>Grant Period</b>	10/1/87 - 12/31/88
<b>FY 87 Funding</b>	\$141,969
<b>Grant Number</b>	G008745447
<b>Abstract</b>	

This University of Illinois at Urbana-Champaign (UIUC) project will make available to the entire nation through interlibrary loan the historical resources of curriculum materials from 1880 to date that have shaped educational policies and practices in the United States.

Proposed is a center acquiring, maintaining, retaining, and circulating the primary materials used in classrooms from the 1800's to the present through local, State, and national networks. UIUC has approximately 12,000 uncataloged textbooks from this time period and anticipates acquisition of many more through a variety of sources. At present, the UIUC Library has approximately 8,000 volumes of pre-1975 uncataloged curriculum materials. These materials will form the nucleus of the national resource of historical curriculum materials.

<b>Institution</b>	University of Illinois Agriculture Library 536 South Wright Street Urbana, IL 61801
<b>Project Director</b>	Carol Boast Agriculture Librarian
<b>Program Focus</b>	Bibliographic Control
<b>Grant Period</b>	10/1/87 - 12/31/88
<b>FY 87 Funding</b>	\$219,404
<b>Grant Number</b>	G0086102:1

**Abstract**

This project will continue a retrospective cataloging and indexing program to strengthen the research collections of the University of Illinois Agriculture Library, and to improve national and international access to hard copy sets of United States Department of Agriculture and State experiment station agricultural materials from 1862 to date through series analytics on the OCLC and RLIN database and indexing on the AGRICOLA and AGRIS databases. This project comprises the retrospective portion of a nationwide program implemented by the National Agricultural Library in 1985 and will continue this work for 1987 onward.

<b>Institution</b>	University of Kansas Libraries Lawrence, KS 60645
<b>Project Director</b>	Sheryl Williams Curator, Kansas Collection
<b>Program Focus</b>	Bibliographic Control
<b>Grant Period</b>	10/1/87 - 12/31/88
<b>FY 87 Funding</b>	\$126,451
<b>Grant Number</b>	G008610203

#### **Abstract**

The University of Kansas Libraries will continue the cataloging of ephemeral materials contained in the Wilcox Collection of Contemporary Political Movements, an outstanding special collection of American extremist political literature. Acquired in 1965, the Collection has grown dramatically over the years and includes books, pamphlets, serials, tapes, manuscripts, and political ephemera relating to approximately 7,000 left-wing and right-wing organizations from the 1950s to the present. At the completion of this project, approximately 4,000 serial titles and 50,000 pieces of ephemera will be cataloged and made nationally accessible through the OCLC database. Thus, this project will provide access to unique primary resources which will support research in the areas of history, political science, sociology, psychology, American studies, and journalism.

<b>Institution</b>	University of Maryland Libraries College Park, MD 20742
<b>Project Director</b>	Marietta A. Plank Associate Director for Technical Services
<b>Program Focus</b>	Bibliographic Control
<b>Grant Period</b>	10/1/87 - 12/31/88
<b>FY 87 Funding</b>	\$408,837
<b>Grant Number</b>	G008610196

#### **Abstract**

The University of Maryland College Park (UMCP) Libraries, in cooperation with the University of Delaware Library, Texas A&M University Library, and the New York State Library, will continue to provide machine-readable cataloging records for Segment 2 of the microfilm collection *Goldsmiths'-Kress Library of Economic Literature*, which consists of 29,412 titles. The cataloging for this collection will be produced in full MARC format in accordance with AACR2 description, LC Subject Headings, and OCLC Level I Bibliographic Input Standards. The bibliographic records will be input into the OCLC database for immediate access by the more than 4,000 libraries participating in OCLC, and eventually by non-OCLC libraries. As a NACO participant, UMCP will also contribute authority records for all names not currently in the name authority file distributed by the Library of Congress. The subject and descriptive cataloging will be divided among the four participating libraries.

<b>Institution</b>	University of Rochester Eastman School of Music Sibley Music Library 26 Gibbs Street Rochester, NY 14604
<b>Project Director</b>	Mary Wallace Davidson Librarian
<b>Program Focus</b>	Bibliographic Control
<b>Grant Period</b>	10/1/87 - 12/31/88
<b>FY 87 Funding</b>	\$455,146
<b>Grant Number</b>	G008745305

**Abstract**

The Associated Music Libraries Group, comprising the Eastman School of Music of the University of Rochester, Harvard University, Indiana University, Stanford University, the University of California at Berkeley, the University of Illinois at Urbana-Champaign, and Yale University will initiate retrospective conversion of 48,130 manual bibliographic records for printed music for entry into OCLC and RLIN networks. The standards used will be the National Retrospective Conversion Plan for Music developed by the Council on Library Resources in September 1984. The project will benefit scholars seeking resources and other music libraries contemplating their own retrospective conversion projects by significantly increasing the number of available bibliographic records for music materials in this vast national on-line union catalog.

<b>Institution</b>	University of South Carolina Thomas Cooper Library Columbia, SC 29208
<b>Project Director</b>	Kenneth E. Toombs Director of Libraries
<b>Program Focus</b>	Bibliographic Control
<b>Grant Period</b>	10/1/87-12/31/88
<b>FY 87 Funding</b>	\$55,000
<b>Grant Number</b>	G0087453I7

#### **Abstract**

The Thomas Cooper Library will catalog a collection of 800 rare and significant serials and maps from its rare books and special collections departments and provide analytic cataloging for the approximately 7,500 titles within that special serials collection. Cataloging will be entered into the OCLC database, subsequently providing scholarly access to these specialized collections on a national and international scale. Random sampling of the collection shows that 95 percent of the titles are not in OCLC. The focus of the project should add approximately 7,980 unique titles to the OCLC database and significantly enhance the holdings of another 320 titles.

<b>Institution</b>	University of Utah Mariott Library Salt Lake City, UT 84112
<b>Project Director</b>	Roger Hanson Director of Libraries
<b>Program Focus</b>	Bibliographic Control
<b>Grant Period</b>	10/1/87 - 12/31/88
<b>FY 87 Funding</b>	\$41,340
<b>Grant Number</b>	G008745316

#### **Abstract**

The University of Utah Libraries will improve access to the machine-readable data files of the Inter-university Consortium for Political and Social Research (ICPSR). By using the set mechanism at OCLC, updating to current standards all existing cataloging records for the ICPSR collection, cataloging where it is currently lacking, and making the data available in machine-readable and card catalog formats through OCLC set distribution service will be accomplished.

The project will provide bibliographic access to microform sets and apply them to an increasingly important new format, machine-readable data files of statistical information. ICPSR will add the cataloging data in machine-readable form to their on-line catalog, whether or not they own the complete ICPSR collection.

<b>Institution</b>	University of Vermont Bailey Howe Library Burlington, VT 05405
<b>Project Director</b>	Nancy L. Eaton Director of Libraries, and Rodney Goins Collection Development Librarian
<b>Program Focus</b>	Bibliographic Control and Preservation
<b>Grant Period</b>	10/1/87 - 12/31/88
<b>FY 87 Funding</b>	\$94,286
<b>Grant Number</b>	G008745321

#### **Abstract**

The University of Vermont has a notable major interdisciplinary Canadian collection and is a selective Canadian depository. As such, it will acquire original copies or photocopies of all the Canadian documents on acid rain and will catalog them into the OCLC database. The documents will then be scanned and digitized and CD-ROM discs produced for preservation of the documents as a cohesive full-text database on acid rain. The CD-ROM discs will be distributed to 40 land-grant libraries that already own CD-ROM equipment.

<b>Institution</b>	University of Virginia McIntire Department of Music Library 112 Old Cabell Hall Charlottesville, VA 22903
<b>Project Director</b>	Marita P. McClymonds Associate Professor of Music
<b>Program Focus</b>	Bibliographic Control
<b>Grant Period</b>	10/1/87 - 12/31/88
<b>FY 87 Funding</b>	\$89,999
<b>Grant Number</b>	G008745319
<b>Abstract</b>	

The Music Library and the McIntire Department of Music of the University of Virginia will establish a model for the cataloging of pre-1900 librettos by cataloging 3,000 previously uncataloged Italian 19th century librettos from the Albert Schatz Collection of the Library of Congress into the Research Libraries Information Network (RLIN) of the Research Libraries Group (RLG). The proposed project will be carried out under the aegis of the U.S. Committee on RISM (Repertoire International des Sources Musicales—the International Inventory of Music Sources) and represents the first year of a multiyear project.

<b>Institution</b>	University of Washington Seattle, WA 98185
<b>Project Director</b>	Gary L. Menges Head, Special Collections and Preservation Division
<b>Program Focus</b>	Preservation and Bibliographic Control
<b>Grant Period</b>	10/1/87-12/31/88
<b>FY 87 Funding</b>	\$144,000
<b>Grant Number</b>	G008745445

#### **Abstract**

The University of Washington Libraries contain extensive collections of materials for the study of theater history and drama and a large collection of historical photographs. This project will focus on a photographic resource for the study of American theatrical history: the J. Willis Sayre Historical American Vaudeville and Theater Photograph Collection. Presently, scholarly access to the Sayre collection is limited and the collection is in danger of deterioration. The goal of this project is to improve access to and assure the preservation of this important collection. The project includes indexing the photographs using a microcomputer, creating an optical videodisc from the photographs, linking the microcomputer database with the videodisc, and making available for purchase the videodisc and the microcomputer database to scholars and to other research collections.

## **HEA Title II-C**

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### **Analysis of FY 87 Applications/Grantees**

## **Analysis of FY 87 Applications/Grantees**

Number of new proposals received	80
Amount of funds requested	\$13,373,507
Number of non-competitive continuation grantees	10
Amount of funds requested	\$ 2,954,495
Appropriation	\$ 6,000,000
Average grant	\$ 162,162
Continuation grants	\$ 2,377,236
New grants	\$ 3,622,764

Type of Library	Number of Applicants	Number of Grantees	Number of Continuation Grantees
Total	80	27 <sup>1</sup>	10 <sup>2</sup>
Historical societies	2	1	0
Institutions of higher education	66	22	6
Independent research libraries	4	2	2
Public libraries	1	1	0
State libraries	1	1	0
Museums	4	0	2
Other	2	0	0

<sup>1</sup>One of these proposals is jointly sponsored, directly benefitting 5 additional institutions. Two of the 27 are grantees for the first time this year.

<sup>2</sup>Of the 10 continuation grants, two are jointly sponsored, directly benefitting 4 additional institutions.

## **HEA Title II-C**

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### **Appendix A**

**Table 1—Project and funding totals, by State: FY 78–FY 87**

State	Total		FY 1978		FY 1979		FY 1980		FY 1981	
	Number	Amount	Number	Amount	Number	Amount	Number	Amount	Number	Amount
Total	321	\$58,494,264	20	\$4,999,996	26	\$6,000,000	22	\$5,992,268	30	\$6,000,000
Alaska	2	341,385			1	150,000		4,116		
Arizona	4	581,952					1	202,549	1	184,785
California	36	9,201,167	2	846,500	3	1,175,000	2	1,247,332	2	335,708
Colorado	3	670,459	1	219,103	1	215,000	1	236,356		
Connecticut	8	1,808,396	1	149,800	1	160,000	1	295,051	1	228,000
District of Columbia	8	781,642	1	142,023						
Florida	3	1,878,459							1	800,000
Georgia	1	92,173								
Hawaii	3	335,000							1	150,000
Illinois	42	5,989,544	3	483,416	3	443,749	3	323,076	5	610,287
Indiana	9	2,053,198	1	389,106	1	200,000		4,116	1	145,000
Iowa	1	127,975							1	127,975
Kansas	7	901,207			1	116,689	1	136,967		
Kentucky	2	295,402								
Maryland	5	1,018,773								
Massachusetts	24	4,956,069	2	621,371	2	450,000	1	404,889	2	354,816
Michigan	10	2,041,478			1	350,000	1	606,000	1	300,000
Minnesota	3	253,019								
Missouri	10	1,824,622	1	200,000	1	200,000	1	244,571		
Montana	1	115,403								
Nebraska	1	90,000								
New Hampshire	5	1,067,279							1	150,000
New Jersey	8	1,197,740	1	219,395	2	450,000	1	157,777		
New York	48	9,770,627	3	1,050,700	5	1,237,062	3	788,197	3	1,113,994
North Carolina	9	2,272,614	1	250,000	1	220,500	2	571,445	1	270,937
Ohio	10	1,376,421							2	259,306
Oklahoma	1	203,451								
Pennsylvania	13	1,224,383							1	43,680
Rhode Island	5	986,912							1	165,000
South Carolina	4	436,348							1	172,000
Tennessee	2	200,077								
Texas	10	1,547,940	1	250,000	1	150,000	1	175,000	1	174,000
Utah	3	271,522							1	110,823
Vermont	2	162,063								
Virginia	4	547,690								
Washington	6	953,020	1	93,327		200,000	1	150,000	1	175,025
Wisconsin	8	938,441	1	85,255	1	182,000		4,116	1	128,604

FY 1982		FY 1983		FY 1984		FY 1985		FY 1986		FY 1987	
Number	Amount										
35	\$5,760,000	35	\$6,000,000	35	\$6,000,000	43	\$6,000,000	38	\$5,742,000	37	\$6,000,000
	1	187,269		1	98,978	1	95,640				
3	493,326	3	431,821	4	1,128,461	2	496,877	6	1,252,137	9	1,794,005
1	400,000	2	493,372	1	82,173						
1	108,990	1	58,861			3	274,964	1	124,968	1	71,836
	1	378,459	1	700,000				1	92,173		
4	516,494	3	546,290	6	879,462	6	822,636	4	633,428	5	730,706
1	167,516	1	230,080	1	603,522	1	58,889	1	96,889	1	103,090
1	148,500	1	144,313			1	126,147	1	102,140	1	126,451
		1	71,456			1	142,080	1	153,322		
						1	93,356	2	445,126	1	408,837
3	687,867	3	548,546	2	312,499	3	619,152	3	527,669	3	429,260
1	133,901	1	165,337	1	86,645	3	338,796	1	60,799		
1	66,309	1	122,602					1	64,108		
		1	205,168	2	130,555	1	202,757	2	391,571	1	250,000
						1	115,403				
1	90,000			1	183,336	1	249,916	1	234,209	1	249,818
1	110,000			1	116,566	1	93,575	1	50,427		
3	455,034	7	1,376,855	7	903,907	8	1,303,621	5	690,875	4	860,382
1	339,007	1	305,951	1	144,532			1	170,242		
3	611,167	1	113,093			1	133,500	1	88,355	2	171,000
1	203,451										
1	108,798	1	106,875	4	370,713	4	304,578	1	132,000		
1	168,626					1	170,845			1	210,000
1	132,736	1	96,612							1	55,000
1	148,159	1	51,918								
2	240,439	1	180,998	1	184,944	1	119,671	1	72,888		
1	119,680					1	67,777			1	41,340
				1	85,146	1	72,045			1	94,286
1	250,000							1	140,668	1	144,000
1	60,000					1	125,460	2	228,006	1	125,000

**Table 2—Analysis of FY 87 grant awards, by major activity**

Institution	Total	Program Activity		
		Bibliographic Control	Preservation	Collection Development
Total	\$6,000,000	\$4,732,543	\$1,202,696	\$64,761
American Antiquarian Society (continuation)	109,260	109,260	—	—
American Museum of Natural History (continuation)	199,661	—	199,661	—
Boston Public Library	210,000	—	210,000	—
Brown University	210,000	210,000	—	—
Center for Research Libraries	119,549	—	119,549	—
Dartmouth College (continuation)	249,818	225,364	24,454	—
George Washington University	71,836	71,836	—	—
Harvard University	110,000	44,703	65,297	—
Indiana University	103,080	103,080	—	—
Missouri Botanical Garden (continuation)	250,000	250,000	—	—
New York State Library	50,575	50,575	—	—
Northwestern University	119,784	119,784	—	—
Ohio State University (continuation)	70,000	64,639	5,361	—
Ohio University	101,000	101,000	—	—
Southern Illinois University	130,000	130,000	—	—
Stanford University (continuation)	463,805	463,805	—	—
Stanford University, Hoover Institution (continuation)	280,000	—	280,000	—
Stanford University, Hoover Institution	134,244	78,788	55,456	—

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State Historical Society of Wisconsin	125,000	119,997	5,003	—
State University of New York at Buffalo	155,000	155,000	—	—
University of California, Berkeley	153,259	120,441	32,818	—
University of California, Berkeley	249,414	249,414	—	—
University of California, Los Angeles	140,000	105,000	35,000	—
University of California, Riverside	155,000	155,000	—	—
University of California, San Diego	141,283	141,283	—	—
University of California, Santa Barbara	77,000	77,000	—	—
University of Hawaii	45,000	—	45,000	—
University of Illinois, Urbana (continuation)	219,404	215,489	3,915	—
University of Illinois, Urbana	141,969	103,909	—	38,060
University of Kansas (continuation)	126,451	126,451	—	—
University of Maryland (continuation)	408,837	408,837	—	—
University of Rochester, Eastman School of Music	455,146	455,146	—	—
University of South Carolina	55,000	55,000	—	—
University of Utah	41,340	29,168	—	12,172
University of Vermont	94,286	32,002	47,755	14,529
University of Virginia	89,999	89,999	—	—
University of Washington	144,000	77,573	73,427	—

— Not Applicable.

NOTE: This table analyzes each grant by the amount of funding for three general program activities: bibliographic control, preservation, and collection development. Some grants include more than one activity.

**Table 3—Summary of funding, by major activity: FY 78-FY 87**

Fiscal year	Total funding	Bibliographic control	Percent of funding	Preservation	Percent of funding	Collection development	Percent of funding
Total	\$58,494,264	\$42,144,818	72	\$12,368,625	21	\$3,980,821	7
1978	4,999,996	2,864,339	57	1,340,554	27	795,103	16
1979	6,000,000	3,978,366	66	1,393,201	23	628,433	11
1980	5,992,268	4,345,765	73	805,383	13	841,120	14
1981	6,000,000	4,249,840	71	1,298,542	22	451,618	7
1982	5,760,000	4,042,549	70	1,521,258	27	196,193	3
1983	6,000,000	4,738,575	79	909,612	15	351,813	6
1984	6,000,000	4,526,772	76	1,044,973	17	428,255	7
1985	6,000,000	4,236,695	70	1,729,997	29	33,308	*
1986	5,742,000	4,429,374	77	1,122,409	20	190,217	3
1987	6,000,000	4,732,543	79	1,202,696	20	64,761	1

\*Less than 1 percent.

**Table 4—Summary of program funding: FY 77-FY 87**

Fiscal year	Authorization	Request	Appropriation	Awarded
Total	\$160,000,000	\$29,000,000	\$58,502,000	\$58,494,264
1977	10,000,000	0	0	0
1978	15,000,000	5,000,000	5,000,000	4,999,996
1979	20,000,000	5,000,000	6,000,000	6,000,000
1980	20,000,000	6,000,000	6,000,000	5,992,268
1981	10,000,000	7,000,000	6,000,000	6,000,000
1982	15,000,000	6,000,000	5,760,000	5,760,000
1983	15,000,000	0	6,000,000	6,000,000
1984	15,000,000	0	6,000,000	6,000,000
1985	15,000,000	0	6,000,000	6,000,000
1986	15,000,000	0	5,742,000	5,742,000
1987	10,000,000	0	6,000,000	6,000,000

**Table 5—Summary of major activity, by institution: FY 78-FY 87**

Institution	Total	Fiscal Year									
		1978	1979	1980	1981	1982	1983	1984	1985	1986	1987
Academy of Natural Sciences	\$ 228,989	\$	\$	\$	B \$ 43,680	\$	\$	B-P \$130,016	B \$ 55,293	\$	\$
American Antiquarian Society	200,760									B 91,500	B 109,260
American Museum of Natural History	1,612,965	B-C 250,000	B-C 242,165	B-C 237,819	B-P 166,539	B 125,929		P-C 83,953	P 145,739	P 161,160	P 199,661
Art Institute of Chicago	306,620	P-C 163,200	B	B	P 4,116	P 187,069	P 158,139	P 157,577	P 64,093	P 79,327	
Boston Public Library	1,279,684	B 238,240	B 150,000						P 174,543		P 210,000
Brown University	986,942			B-C 272,471	B 165,000	B-P 168,626			B 170,845		B 210,000
Center for Research Libraries	1,170,349				B 122,809	B 230,011	B 299,488	B 114,278	P 145,000	P 139,214	P 119,549
Cleveland Public Library	80,306	B 219,103	B 215,000	B 236,356							
Colorado State University	670,459			P 250,000			B-P 349,530		B 210,120	B-P 211,545	
Columbia University	1,025,311										
Cornell University	1,138,343		C 194,897	B-C 240,413	B-C 284,639		B 241,204	B 99,734		B-P 77,456	
Dartmouth College	1,067,279			B-P 150,000				B-P-C 183,336	B-P 249,916	B-P 234,209	B-P 249,818

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Detroit Public Library	166,829	C						B-P	B-P
Duke University	670,242	250,000		C	250,000			106,030	60,799
Emory University	92,173								B
Folger Shakespeare Library	515,210	B-P-C 142,023				B-C-P 108,990	B-C 58,861	B 80,368	C 124,968
George Washington University	171,506							B-P 99,670	
Georgetown University	94,926	P	P	P	P	B-P 288,935	B-P 249,834	B-P 249,998	B-P 94,926
Harvard University	2,617,503	383,131	300,000	400,773	167,747			227,092	B-P 239,943
Houston Academy of Medicine	72,888								B-P 110,000
Huntington Library	737,181	P 171,500	P B-C	P B	P 251,551	B 35,750			P 53,380
Indiana University	1,944,309	389,106	200,000	4,116 <sup>1</sup>	B 145,000 B-P 127,975	B 167,516	B-P-C 230,080	B 608,522	B-P 96,889
Iowa State University	127,975								B 103,080
Johns Hopkins University	205,162					B-P 71,456		B 93,356	B 40,350
Massachusetts Institute of Technology	858,122					B-P 240,743	B-P 141,135	B 62,501	B 2 7,517
									196,226

KEY: B-Bibliographic Control P-Preservation C-Collection Development

**Table 5—Summary of major activity, by institution: FY 78–FY 87—continued**

Institution	Total	Fiscal Year									
		1978	1979	1980	1981	1982	1983	1984	1985	1986	1987
Metropolitan Museum of Art	\$ 101,350	\$	\$	\$	\$	\$	B-P \$101,850	\$	\$	\$	\$
Michigan State University	251,982	B	B	B			B-P 165,337	B			
Missouri Botanical Garden	1,134,615	200,000	200,000	244,571						B 240,044	B 250,000
New York Botanical Garden	498,401				B 225,042	B 273,359	B-P 69,929	B-P 65,585			
New York Historical Society	135,514										
New York Public Library	2,097,156	P 550,700	P 300,000		B-P 662,816		P 235,339	P 212,583	B-P-C 135,718		
New York State Education Department	805,849	B 250,000	B 250,000	B 305,849							
New York State Library	203,323					B 152,748					B 50,575
New York University	352,023						B-P 185,136	B 166,887			
Newberry Library	131,658				P 131,658						
Northern Illinois University	235,233						B 93,480	B 141,753			
Northwestern University	601,197	B-C 250,000		4,116 <sup>a</sup>	58	B-C 55,104	B 172,193			B 119,784	

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Ohio State University	551,465			B-C 179,000	B-C 101,017	B-C 113,093		B-P 88,355	B-P 70,000
Ohio University	350,499			B 115,999			B 133,500	B 101,000	
Pierpont Morgan Library	187,131				B-P 89,675	B-P-C 97,456			
Princeton University	993,624	B 219,395	B 250,000	B 153,661	B-P 110,000		B 116,566	P 93,575	B-P 50,427
Rice University	82,858				B 82,858				
Rutgers University	204,116		B-P 200,000	4,116 <sup>1</sup>	B-P-C 180,000	B-P 96,036			B 130,000
Southern Illinois University	400,006				B 209,013	B 231,030	B 287,448	B 350,000	B 359,407
Stanford University	2,141,080				B 209,013	B 231,030	B 287,448	B 350,000	B 463,805
Stanford University, Hoover Institution	934,160						P 252,000	P 257,916	B-P 414,244 <sup>2</sup>
State Historical Society of Wisconsin	370,514						B-P 125,460	B-P 120,054	B-P 125,000
State University of New York at Buffalo	632,255				B 104,063	B 168,489		B 109,707	B 94,996
Syracuse University	292,360						B-P 132,360	P 160,000	B 155,000
Temple University	66,748						B-P 66,748		

KEY: B-Bibliographic Control P-Preservation C-Collection Development

**Table 5—Summary of major activity, by institution: FY 78-FY 87—continued**

Institution	Total	Fiscal Year										
		1978	1979	1980	1981	1982	1983	1984	1985	1986	1987	
University of Alaska	\$ 341,385	\$	B-P \$150,000	\$ 4,116'	\$ B-C 202,549	\$ B 184,785	\$ P \$187,269	\$ B 98,978	\$ B 95,640	\$ B 250,566	\$ B 256,500	\$ B 250,023
University of Arizona	581,952		B 675,000	B 750,000	B 995,781		B-P-C 157,297	B-P-C 104,999	B-P-C 265,895	B-P-C 195,000	B-P 210,438	B-P 402,673 <sup>2</sup>
University of California, Berkeley	3,737,840						B-P-C 157,297	B-P-C 104,999	B-P-C 265,895	B-P-C 195,000		
University of California, Davis	104,999											
University of California, Los Angeles	140,000											B-P 140,000
University of California, Riverside	290,000											B 135,000
University of California, San Diego	712,212							B-P-C 108,623	B-P-C 265,895	B-P-C 195,000	B-P-C 210,438	B 155,000
University of California, Santa Barbara	77,000											B 196,411
University of Chicago	1,329,413	B 250,000	B-P-C 68,749	B-C-P 254,040 <sup>1</sup>	P-C 55,820		B-P-C 136,119	B-P-C 159,247	B-P-C 195,000	B-P-C 210,438		B 141,283
University of Cincinnati	394,151					B-P 394,151						B 77,000
University of Connecticut	150,545						B 68,372	B 82,173				
University of Florida	1,878,459	~ ~	60		B 800,000		B 378,459	B 700,000				

University of Hawaii	335,000		C	C	B	150,000	B-C	B-C	B-C	B	P
University of Illinois	1,505,543	70,216		125,000	64,920	120,000	141,373	110,683	182,429	125,100	B-P-C
University of Illinois, Chicago	309,525								B	151,690	351,373 <sup>2</sup>
University of Kansas	901,207			B	B	116,689	136,967		B-P	B	B
University of Kentucky	295,402								148,500	144,313	126,147
University of Maryland	813,613									B-P	B-P
University of Michigan	1,622,667		B	B	350,000	606,009	B	B-P	142,080	153,322	404,776
University of Minnesota	253,019							133,901		B	408,837
University of Missouri	422,514							B	232,766 <sup>3</sup>		
University of Montana	115,403							66,309		B	
University of Nebraska	90,000									64,108	
University of North Carolina	1,602,372		B	B	220,500	321,445	B	B			
University of Notre Dame	58,889						270,937	339,007	305,951	144,532	B-P
										58,889	

KEY: B - Bibliographic Control P - Preservation C - Collection Development

**Table 5—Summary of major activity, by institution: FY 78–FY 87—continued**

Institution	Total	Fiscal Year									
		1978	1979	1980	1981	1982	1983	1984	1985	1986	1987
University of Oklahoma	\$ 203,451	\$	\$	\$	B-P	\$203,451	\$	\$	\$	\$	\$
University of Pennsylvania	586,194			167,739		B-P	108,798	106,875	B	103,982	98,800
University of Pittsburgh	352,452						B-C	69,967	B-P	150,485	B
University of Rochester, Eastman School of Music	688,146				B	172,000	B	132,736	B	233,000	455,146
University of South Carolina	456,348						B	96,612			B
University of Southern California	326,695		B	200,000		B-C	126,695				55,000
University of Tennessee	51,918		B-C		B-C			B	51,918		
University of Texas	1,392,194	250,000	150,000	175,000	B-P-C	174,000	P-C	157,581	B	184,944	B
University of Utah	271,903				B	110,883	B	119,680		119,671	
University of Vermont	162,063								B-P-C		
University of Virginia	547,690	B	300,000	500	B-P		B	85,146	B	72,045	B
University of Washington	812,352	B	93,327	150,000	175,025	B-P	250,000				89,999
											B-P
											144,000

University of Wisconsin	567,927	D	B	182,000	4,116	P	B-P		B-P	
Vanderbilt University	148,159						B	60,000	107,952	
Washington State University	140,668						148,159			
Washington University	267,493						B-C	205,168	B	
Yale University	1,657,851	P	P	160,000	B-P	B-P	B	62,325	140,668	
		149,800		295,051	228,000		400,000	425,000		

KEY: B-Bibliographic Control P-Preservation C-Collection Development

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<sup>1</sup>Funds awarded to conduct dissemination projects for FY 79 grants.

<sup>2</sup>Funds for two projects.

NOTE: Institutions listed are primary grantees only. Table does not show those institutions that received funds through joint grants.

## **HEA Title II-C**

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### **Appendix B**

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## Summary of joint Projects: FY 78-FY 87

Total funding of joint projects for FY 78-FY 87	\$12,207,078
Total number of institutions—grantees	13
Total number of institutions—participants	33
Total number of participating institutions which have also been grantees or their own	19
Twenty-eight of the 30 joint projects (93 percent) supported bibliographic control efforts	

### FY 1978

Amount of Grant	Grantee		Participating Institutions
\$250,000	Duke University	C	University of North Carolina at Chapel Hill North Carolina State University, Raleigh
200,000	Missouri Botanical Garden	B	New York Botanical Garden
675,000	University of California, Berkeley	B	Stanford University University of California, Los Angeles

### FY 1979

\$200,000	Missouri Botanical Garden	B	New York Botanical Garden
750,000	University of California, Berkeley	B	Stanford University University of California, Los Angeles
350,000	University of Michigan	B	Michigan State University Wayne State University
300,000	University of Virginia	B	University of Alabama University of South Carolina Vanderbilt University

### FY 1980

\$250,000	Duke University	C	University of North Carolina at Chapel Hill North Carolina State University, Raleigh
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KEY: B-Bibliographic Control    P-Preservation    C-Collection Development

## **Summary of Joint Projects: FY 78-FY 87**

—continued

### **FY 1980—continued**

<b>Amount of Grant</b>	<b>Grantee</b>	<b>Participating Institutions</b>	
244,571	Missouri Botanical Garden	B	New York Botanical Garden
995,781	University of California, Berkeley	B	Stanford University University of California, Los Angeles
606,000	University of Michigan	B	Michigan State University Wayne State University

### **FY 1981**

\$662,816	New York Public Library	B-P	Columbia University New York University
800,000	University of Florida	B	Emory University Florida State University University of Georgia University of Kentucky University of Miami University of Tennessee Virginia Polytechnic Institute
300,000	University of Michigan	B	Michigan State University Wayne State University

### **FY 1982**

\$225,042	New York Botanical Garden	B	Missouri Botanical Garden
400,000	Yale University	B	Cornell University Stanford University

### **FY 1983**

\$273,359	New York Botanical Garden	B	Missouri Botanical Garden
378,459	University of Florida	B	Emory University Florida State University Louisiana State University

**KEY:** B-Bibliographic Control    P-Preservation    C-Collection Development

## **Summary of Joint Projects: FY 78-FY 87**

—continued

**FY 1983—continued**

Amount of Grant	Grantee	Participating Institutions
		B University of Alabama University of Georgia University of Kentucky University of Miami University of Tennessee Virginia Polytechnic Institute
305,951	University of North Carolina	B Duke University North Carolina State University, Raleigh
425,000	Yale University	B Cornell University Stanford University
<b>FY 1984</b>		
\$608,522	Indiana University	B University of Arizona University of California, Riverside University of Delaware University of Utah
700,000	University of Florida	B Emory University Florida State University Georgia Institute of Technology Louisiana State University University of Alabama University of Georgia University of Kentucky University of Miami University of South Carolina University of Tennessee Vanderbilt University Virginia Polytechnic Institute
144,532	University of North Carolina	B Duke University North Carolina State University, Raleigh

**KEY:** B-Bibliographic Control    P-Preservation    C-Collection Development

## **Summary of Joint Projects: FY 78-FY 87**

—continued

### **FY 1985**

<b>Amount of Grant</b>	<b>Grantee</b>	<b>Participating Institutions</b>
\$223,000	University of Rochester, Eastman School of Music	B Indiana University University of California, Berkeley

### **FY 1986**

\$170,242	Duke University	B North Carolina State University, Raleigh University of North Carolina, Chapel Hill
240,044	Missouri Botanical Garden	B New York Botanical Garden
404,776	University of Maryland	B New York State Library Texas A & M University University of Delaware

### **FY 1987**

\$455,146	University of Rochester, Eastman School of Music	B Harvard University Indiana University Stanford University University of California, Berkeley Yale University
250,000	Missouri Botanical Garden	B New York Botanical Garden
408,837	University of Maryland	B New York State Library Texas A & M University University of Delaware

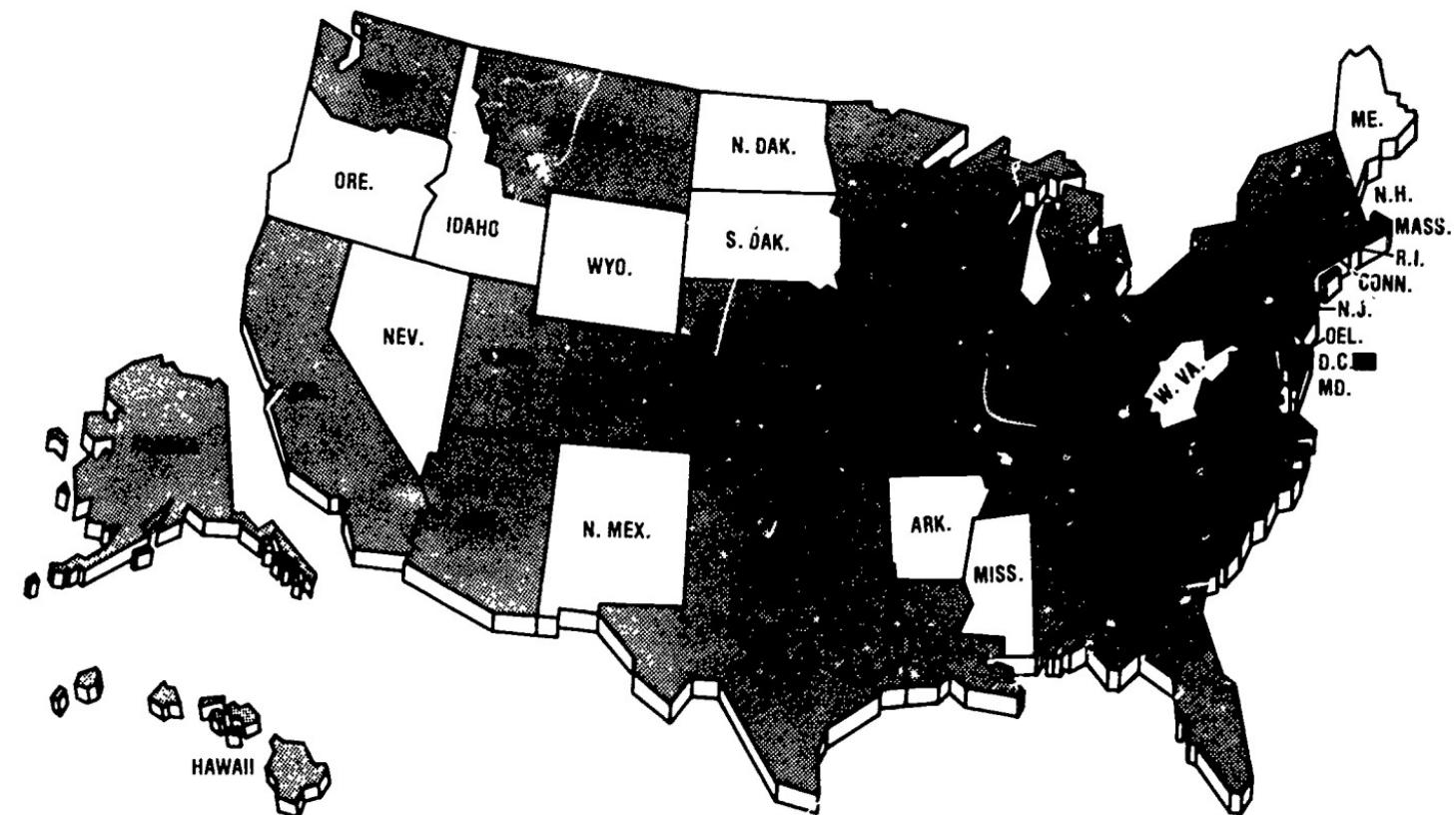
**KEY:** B-Bibliographic Control    P-Preservation    C-Collection Development

## **HEA Title II-C**

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### **Appendix C**

# States Benefiting From HEA Title II-C Since Fiscal Year 1978



UNITED STATES  
DEPARTMENT OF EDUCATION  
WASHINGTON D.C. 20202



OFFICIAL BUSINESS  
PENALTY FOR PRIVATE USE \$300

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LP 88-710

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